

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Neil Andrew Abercrombie Simpson

Serial No.: Unknown

Confirmation No.: Unknown

Filed: Herewith

For: TUBING EXPANSION

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Group Art Unit: Unknown

Examiner: Unknown

Customer No.: 36735

MAIL STOP PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE UNDER 37 CFR 1.10

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited on 22 March 04 with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," mailing label No. EV416702926US addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

22 March 04  
Date

William B. Pitter  
Signature

INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

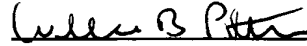
In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary

to make this submission timely, to the Deposit Account No. 20-0782/MRKS/0057.C1/WBP.

Respectfully submitted,



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Attorney for Applicant(s)



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Application Number	Unknown
Filing Date	Herewith
First Named Inventor	Neil Andrew Abercrombie Simpson
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	MRKS/0057.C1
Customer No.	36735
Submission Date	

Sheet 1 of 2

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	US-6,142,230	11/07/2000	SMALLEY, ET AL.	
	A2	US-6,543,552	04/08/2003	METCALFE, ET AL.	
	A3	US-6,390,201	05/21/2002	COON, ET AL.	
	A4	US-2,627,891	02/10/1953	CLARK	
	A5	US-6,457,532	10/01/2002	SIMPSON	
	A6	US-1,561,415	11/10/1925	DUDA	
	A7	US-1,569,729	01/12/1926	DUDA	
	A8	US-3,818,734	06/1974	BATEMAN	
	A9	US-2002/0139540	10/03/2002	LAURITZEN	
	A10	US-4,483,399	11/30/1984	COLGATE	
	A11	US-6,419,025	07/16/2002	LOHBECK, ET AL.	
	A12	US-5,575,250	06/10/2003	WIJSMAN	
	A13	US-1,597,212	08/08/1926	SPENGLER	
	A14	US-2,383,214	08/21/1945	PROUT	
	A15	US-6,568,472	05/27/2003	GANO, ET AL.	
	A16	US-6,012,522	01/11/2000	DONNELLY, ET AL.	
	A17	US-5,083,608	01/28/1992	ABDRAKHMANOV, ET AL.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Number-Kind Code <sup>2</sup> (if known)				
	B1	GB 2 344 606	06/14/2000			
	B2	GB 2 347 950	09/20/2000			
	B3	GB 2 348 223	09/27/2000			
	B4	DE 2 140 358	06/20/1973			
	B5	WO 00/37766	06/29/2000			

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



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## NON PATENT LITERATURE DOCUMENTS

[illegible]

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